CONGRESS OF NEUROLOGICAL SURGEONS SYSTEMATIC REVIEW AND EVIDENCE-BASED GUIDELINES FOR PATIENTS WITH CHIARI MALFORMATION: SURGICAL INTERVENTIONS

Sponsored by: Congress of Neurological Surgeons (CNS) and the Section on Pediatrics *Endorsed by:* The Congress of Neurological Surgeons (CNS), American Association of Neurological Surgeons (AANS) and the Bobby Jones Chiari & Syringomyelia Foundation (Bobby Jones CSF)

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Abbreviations: Chiari malformation type I, CIM; Chicago Chiari Outcome Scale, CCOS; intraoperative brainstem auditory evoked potentials, BAEPs; intraoperative neuromonitoring, IONM; posterior fossa decompression, PFD; posterior fossa decompression with duraplasty, PFDD; somatosensory evoked potentials, SSEPs

ABSTRACT

Background: Chiari malformation type I (CIM) diagnoses have increased in recent years. Patients may develop delayed symptoms or syringomyelia requiring surgical intervention, while mild symptoms can be managed conservatively. Controversy regarding the best operative management prompted a review of literature to offer guidance on surgical interventions. **Objective:** This evidence-based clinical practice guidelines assessed literature to determine: 1) whether posterior fossa decompression or posterior fossa decompression with duraplasty is more effective in preoperative symptom resolution; 2) if there is benefit from cerebellar tonsillar resection/reduction; 3) the role of intraoperative neuromonitoring; 4) in patients with a syrinx, how long should a syrinx be observed for improvement before additional surgery is performed; and 5) what is the optimal duration of follow-up care after preoperative symptom resolution. **Methods:** A systematic review was performed using the National Library of Medicine/PubMed and Embase databases for studies on CIM in children and adults. The most appropriate surgical interventions, the use of neuromonitoring, and clinical improvement during follow-up were reviewed for studies published between 1946 and January 23, 2021.

Results: A total of 80 studies met inclusion criteria and there was no Class I evidence in the literature. Posterior fossa decompression with or without duraplasty or cerebellar tonsil reduction all appeared to show some benefit for symptom relief and syrinx reduction. There was insufficient evidence to determine if duraplasty or cerebellar tonsil reduction was needed for specific patient groups. There was no strong correlation between symptom relief and syringomyelia resolution. Many surgeons follow patients for 6 to 12 months before considering reoperation for persistent syringomyelia. No benefit or harm was seen with the use of neuromonitoring.

Conclusion: This evidence-based clinical guidelines for the treatment of CIM provide 1 Class II and 4 Class III recommendations. In patients with CIM with or without syringomyelia, treatment options include bone decompression with or without duraplasty or cerebellar tonsil reduction. Improved syrinx resolution may potentially be seen with dural patch grafting. Symptom resolution and syrinx resolution did not correlate directly. Reoperation for a persistent syrinx was potentially beneficial if the syrinx had not improved 6 to 12 months after the initial operation.

RECOMMENDATIONS

3-1. In patients with CIM (with or without syrinx), what type of surgery most often improves preoperative symptoms: posterior fossa decompression (PFD) or posterior fossa decompression with duraplasty (PFDD)?

Recommendation: In patients with symptomatic CM1 malformation (with or without syrinx), either posterior fossa decompression (PFD) or posterior fossa decompression with duraplasty (PFDD) may be utilized as a first line treatment to improve pre-operative symptoms.

Strength of recommendation: Grade C

Class III evidence

3-2. In patients with CIM, is cerebellar tonsil reduction beneficial?

Recommendation: In patients undergoing posterior fossa decompression surgery for treatment of CIM and syrinx, surgeons may perform resection or reduction of cerebellar tonsil tissue to improve syrinx and/or symptoms.

Strength of recommendation: Grade C

Class III evidence

3-3. Is there a role for intraoperative neuromonitoring in patients undergoing decompression for Chiari I malformation?

Recommendation: Intraoperative neuromonitoring in patients undergoing decompression for CIM may be used during surgery.

Strength of recommendation: Grade C

Class III evidence

3-4. In patients with CIM and syrinx, how long should you wait to evaluate for syrinx reduction prior to performing additional surgery?

Recommendation: Surgeons may perform additional neurosurgical intervention 6 to 12 months following surgical treatment of CIM with syringomyelia in patients that have not demonstrated radiographic improvement.

Strength of recommendation: Grade B

Class II evidence

3-5. In surgically treated patients with CIM who have improved, is long term follow up needed?

Recommendation: Patients undergoing surgery for CIM who experience symptom resolution may be monitored for symptom or imaging changes.

Strength of recommendation: Grade C

Class III evidence

INTRODUCTION

Goals and Rationale

Approximately 0.24% to 2.6% of the population,^{1–5} including children and adults, is affected by Chiari malformation type I (CIM). CIM is defined as descent of the cerebellar tonsils \geq 3 to 5 mm below the foramen magnum. Not all patients are symptomatic, and there are various ways to diagnose and treat patients with CIM. CIM may cause syringomyelia, and some patients with CIM may have craniocervical instability requiring decompression and/or fusion of the craniocervical junction. Symptoms result from blockage of the flow of cerebrospinal fluid (CSF) or from compression of the brainstem or cranial nerves. In some cases, other neurologic or orthopedic conditions are also present, which can make diagnosis or management challenging for clinicians and their patients.

This guideline was developed to determine the most appropriate diagnostic and surgical management in adult and pediatric patients with CIM (with or without syrinx), based on current literature. Procedures likely to improve preoperative symptoms or clinical findings were reviewed, as well as ancillary interventions, including cerebellar tonsil reduction or intraoperative neuromonitoring (IONM). Timing for reimaging or repeat intervention is also included to inform long term assessment and follow-up.

This guideline is intended to help improve patient care by outlining appropriate information gathering and decision-making processes involved in the treatment of patients with CIM. Surgical care is provided by different clinicians, and they were created as an educational tool to navigate through a series of diagnostic and treatment decisions to this condition.

The ultimate surgical judgment regarding any specific procedure or treatment must be made in view of the patient's presenting circumstances. Therefore, the guidelines should not be construed as all-inclusive or excluding any reasonable methods directed towards obtaining the same results.

METHODOLOGY

The guidelines task force initiated a systematic review of the evidence-based literature relevant to the treatment of patients with CIM. Through objective evaluation of the evidence and transparency in the process of making recommendations, these evidence-based clinical practice guidelines were developed for the diagnosis and treatment of patients with CIM, mainly as an educational resource to assist practitioners during clinical decision-making processes. Additional information about the methods used in this systematic review is provided below.

Literature Search

Task force members identified search terms/parameter and a medical librarian implemented the literature search, consistent with the literature search protocol (see Appendix I), using the National Library of Medicine/PubMed database and Embase for the period from 1946 to January 23, 2021 using the search strategies provided in Appendix I.

Inclusion/Exclusion Criteria

Articles were retrieved and included only if they met specific inclusion/exclusion criteria. To reduce bias, these criteria were specified before conducting the literature searches.

Articles that do not meet the following criteria were, for the purposes of this evidence-based clinical practice guideline, excluded. To be included as evidence in the guideline, an article had to be a report of a study that:

- Investigated patients with CIM;
- Studies that enrolled ≥80% of CIM (studies with mixed patient populations were included if results were separately reported for each group/patient population);
- Was a full article report of a clinical study;
- Was not a medical records review, meeting abstract, historical article, editorial, letter, or a commentary;
- Appeared in a peer-reviewed publication or a registry report;
- Enrolled a minimum of 10 patients;
- Was of humans;
- Was published between 1946 and January 23, 2021;
- Quantitatively presented results;
- Was not an in vitro study;
- Was not a biomechanical study;
- Was not performed on cadavers;
- Was published in English;
- Was not a systematic review, meta-analysis, or guideline developed by others¹

Systematic reviews or meta-analyses conducted by others, or guidelines developed by others were not included as evidence to support this review due to the differences in article inclusion/exclusion criteria compared to those criteria specified by the Guidelines Task Force. Although these articles were not included as evidence to support the review, they were recalled for full-text discussion and conduct manual searches of the bibliographies.

Assessment for Risk of Bias

The methodological quality of randomized controlled trials and the risk of bias were assessed using the following 6 criteria:

1. Sequence generation (Was the allocation sequence adequately generated?)

2. Allocation concealment (Was allocation adequately concealed such that it could not be foretold?)

3. Blinding (Were participants, treatment providers and/or outcome assessors blinded to the treatment allocations?)

4. Incomplete reporting of data (Were incomplete outcome data adequately addressed?)

5. Selective reporting of outcomes (Were all the outcomes specified reported?)

6. Other potential threats to validity (Was the randomized controlled trial free of other issues that could put it at a high risk of bias?)

In the case of nonrandomized observational evidence, potential threats to the validity of the data were assessed by examining for:

1. Bias due to selective case choice for study and selective result reporting,

¹The guideline task force did not include systematic reviews, guidelines, or meta-analyses conducted by others. These documents are developed using different inclusion criteria than those specified in this guideline; therefore, they may include studies that do not meet the inclusion criteria specific to this guideline. In cases where these types of documents' abstract suggested relevance to the guideline's recommendations, the task force searched their bibliographies for additional studies.

- 2. Bias due lack or loss of information over time,
- 3. The biases of the interpreting investigator regarding the study
- 4. Publication bias regarding positive studies or positive cases
- 5. Misclassification
- 6. Survivorship bias
- 7. Publication bias

8. Recognition that in data collected in a retrospective or prospective manner correlation does not imply causation

- 9. Election bias
- 10. Attrition bias
- 11. Bias of change in methods over time
- 12. Ascertainment bias

Rating Quality of Evidence

The quality of evidence was rated using an evidence hierarchy for each of 4 different study types; therapeutic, prognostic, diagnostic, and decision modeling. These hierarchies are shown in Appendix II: Rating Evidence Quality. Additional information regarding the hierarchy classification of evidence can be located here: <u>https://www.cns.org/guidelines/guideline-procedures-policies/guideline-development-methodology.</u>

Revision Plans

In accordance with the Institute of Medicine's standards for developing clinical practice guidelines and criteria specified by the National Guideline Clearinghouse, the task force will monitor related publications following the release of this document and will revise the entire document and/or specific sections "if new evidence shows that a recommended intervention causes previously unknown substantial harm; that a new intervention is significantly superior to a previously recommended intervention from an efficacy or harms perspective; or that a recommendation can be applied to new populations."⁶ In addition, the task force will confirm within five years from the date of publication that the content reflects current clinical practice and the available technologies for the evaluation and treatment for patients with CIM.

RESULTS

The literature search yielded 760 abstracts. Task force members reviewed all abstracts yielded from the literature search and identified articles for full-text review and extraction to address the clinical questions, in accordance with the literature search protocol (Appendix I). Task force members identified the best research evidence available to answer the targeted clinical questions. When class I, II, and or III literature was available to answer specific questions, the task force did not review class IV studies.

Of the 760 abstracts, 680 did not meet inclusion criteria or were off-topic and the remaining 80 were selected for systematic review (Appendix III).

DISCUSSION

In general, extradural treatment of CIM consists of suboccipital decompression, C1 laminectomy, with or without resection of occipital cervical ligament with possible scoring of the dura. Intradural or "duraplasty" consists of the standard suboccipital decompression with C1

laminectomy, in addition to opening of the dura with potential reduction of the cerebellar tonsisl with cautery or resection, with possible exploration of fourth ventricle outflow, and finally duraplasty to expand the dura. Duraplasty can consist of either autograft or allograft.

Question 3-1. In patients with CIM (with or without syrinx), what type of surgery most often improves preoperative symptoms (syrinx, headache, etc): posterior fossa decompression (PFD) or posterior fossa decompression with duraplasty (PFDD)?

Recommendations: In patients with symptomatic CIM malformation (with or without syrinx), either PFD or PFDD may be used as a first-line treatment to improve preoperative symptoms.

Strength of recommendation: Grade C

Most studies (n = 17) met the criteria for class III evidence with 1 study meeting criteria for class II evidence. No studies met criteria for class I evidence.

An article by the Park-Reeves Syringomyelia consortium⁷ was published after the literature search was completed for this guideline and therefore was not included in the initial systematic review or guideline recommendations. Nevertheless, the results are pertinent and are included in this discussion. This study compared outcomes in 117 patients that had PFD to 575 patients that had PFDD. All patients had tonsil position \geq 5 mm below the foramen magnum and a syrinx diameter >3 mm. The mean postoperative follow-up interval was 2.7 years, with a minimum of 1 year. PFDD was strongly associated with improved outcomes with respect to headache (89.6% vs 80.8%) and a significantly greater reduction in syrinx diameter (47.7% vs 26.9%), and had a higher rate of pseudomeningocele (7.7% vs 2.6%).

Class II Evidence

One class II study was identified. Pisapia et al⁸ performed a retrospective cohort study of 189 patients, comparing intradural and extradural PFDs. The surgical technique was selected by the surgeon and the extradural approach was associated with a high rate of occipital headache resolution compared with the intradural method. Otherwise, there was no difference in rates of symptom resolution or syrinx size based on these 2 surgical approaches. The intradural group had statistically significant more pseudomeningocele formation (18% vs 0%) and chemical meningitis (10% vs 0%). However, there was no difference in the need for reoperation in these patients.

Class III Evidence

Most authors included the degree of symptom resolutions, syrinx resolution, need for reoperation, and complication rates.

Symptom Resolution

Multiple authors reported an improvement in symptom improvement (such as headaches, neck pain, or visual complaints) in the PFDD over the PFD approach.^{9–11} Gurbuz et al⁹ found 93% improved with PFDD versus 50% with PFD if symptoms were <36 months' duration. Gallo et al¹⁰ also reported better outcomes in the PFDD group, although the changes were not statistically significant. Yilmaz et al¹¹ also reported a 56.3% recovery rate and 89.6% improvement with

PFDD versus a 51.9% recovery rate and 79.1% improvement rate with PFD. Interestingly, Pandey et al¹² and Shimoji et al¹³ found no significant differences between the groups; however, Pandey et al had several missing data points. Jiang et al¹⁴ found no significant difference in the Chicago Chiari Outcome Scale (CCOS) between PFD and PFDD in their small group. Butensky et al¹⁵ performed a large retrospective study and found no difference in symptom resolution, and other similar studies reported impressive symptom improvement rates by PFDD alone.^{16,17} A small, retrospective study found that patients with PFDD had a greater range of cervical motion compared with patients with PFD.¹⁸

Syrinx Resolution

Most articles identified improved syrinx resolution in patients undergoing PFDD versus PFD,^{9–12,15,19,20} although 1 series reported a slight advantage in PFD.¹³ Others found no difference with relatively small comparison groups.^{14,21} Gurbuz et al⁹ noted a syrinx regression rate of 92.3% with PFDD versus 12.5% with PFD. Gallo et al¹⁰ reported 92% improvement with PFDD versus 80% with PFD. Butensky et al¹⁵ found that 93% of syrinxes improved after PFDD versus 62% of the small number receiving PFD alone.¹⁵ Yilmaz et al¹¹ reported 91.1% improvement with PFDD versus 84.2% with PFD alone. Interestingly Pandey et al¹² noted the best results with PFD with dural splitting (86%) versus PFDD (60%) and Chotai et al¹⁷ documented a mean time to 50% resolution of syrinx in PFDD of 8 months. Although Jiang et al¹⁴ had a slightly higher rate of syrinx resolution after PFDD than PFD, the patient numbers in that series were too small to draw any conclusions.

Complications

Reported complications such as pseudomeningocele or CSF leak were more frequently cited with PFDD versus PFD.^{9–11,13–15,17,21,22} Gurbuz et al⁹ reported a 28.6% complication rate in PFDD versus 5.6% in PFD. Although not statistically significant, CSF leak, infection, and pseudomeningocele were more frequently seen in PFDD. Similarly, Jiang et al¹⁴ found that a higher percentage of patients with PFDD experienced CSF complications, while Shimoji et al¹³ reported 1 CSF leak and 2 pseudomeningocles in 11 PFDD operations. There were 10 complications in 85 cases reported by Chotai et al,¹⁷ although details were not readily available. In this series with PFD and PFDD, 3 patients had aseptic meningitis, 4 patients developed CSF leaks, 1 with hydrocephalus, and 2 had persistent headaches. Klekamp et al²³ reported a complication rate of 21.8% in 371 patients undergoing PFDD, with CSF fistula in 5.5% of cases, aseptic meningitis in 4.3%, and hydrocephalus in 3.1%. This article also noted that severe arachnoid scarring tended to portend higher complications (wound infection, CSF leak, etc) in PFDD compared with PFD.

Need for Reoperation

Reoperations occurred more frequently in the PFD group, but this was not statistically significant.^{9,10,24} Gurbuz et al⁹ reported a 22.2% reoperation rate in PFD versus 14.3% in PFDD. Gallo et al¹⁰ reported 15% in his series and 6% in the PFDD group. Conversely, Shimoji et al¹³ noted 1 reoperation in 11 cases of PFDD group, and Klekamp²³ reported 45 reoperations in his series of 371 PFDDs and noted severe arachnoid scarring as a reason for reoperation. Yilmaz et al¹¹ reported a 3.6% rate of reoperation for CSF fistula in the PFDD group versus a 9.5% reoperation rate for inadequate decompression in the PFD group. The literature suggested mixed

results with symptom or syrinx resolution, complications, and need for reoperations. Since there was no class I evidence to support the use of either PFD or PFDD, either approach may be used as a first-line treatment for CIM.

Question 3-2. In patients with CIM, is cerebellar tonsil reduction beneficial?

Recommendation: In patients undergoing PFD surgery for treatment of CIM and syrinx, surgeons may perform resection or reduction of cerebellar tonsil tissue to improve syrinx and/or symptoms.

Strength of recommendation: Grade C

Several retrospective studies and a single prospective study assessed outcomes after CIM decompression with or without manipulation of the cerebellar tonsils. All studies included for review considered syrinx resolution as the primary outcome, therefore an independent assessment of clinical symptom resolution was not possible. Accordingly, this recommendation included syringomyelia reduction as the outcome. Some studies reported better syrinx resolution with tonsil manipulation, while other studies reported higher complication rates with tonsil manipulation. Overall, there was not an obvious benefit or harm from tonsil manipulation apparent across studies.

Class III Evidence

There were 10 studies that directly compared CIM PFDD with and without manipulation of the cerebellar tonsils (including tonsil dissection, resection, cauterization, or some combination thereof). In 6 studies, there was no difference in outcomes with either option.^{25–30} In 3 studies, ^{17,31,32} resolution of syringomyelia was more likely when tonsil manipulation was performed. Conversely, 1 study suggested patients who did not undergo tonsil manipulation had a higher likelihood of resolution of syringomyelia.³³

Seven studies^{17,25,28,29,31–33} reported no difference in complication rates, regardless of tonsillar manipulation, while 3 studies reported higher complication rates with tonsil manipulation.^{26,27,30} In the single prospective and nonrandomized study, no difference in outcomes or complications was reported.²⁹

Question 3-3. Is there a role for IONM in patients undergoing decompression for CIM?

Recommendation: IONM in patients undergoing decompression for CIM may be used during surgery.

Strength of recommendation: Grade C

All studies met the criteria for class III evidence. None met the criteria for class II or I evidence.

Class III Evidence

Anderson et al³⁴ performed a retrospective study to determine if intraoperative brainstem auditory evoked potentials (BAEPs) changes might determine the extent of decompression

necessary and if changes occurred during operative positioning. They reported that conduction through the brainstem improves substantially after bony opening, but only marginally after dural opening. The authors suggested both BAEPs and somatosensory evoked potentials (SSEPs) might identify early changes during operative positioning and may help prevent adverse outcomes. In support of these findings, Barzilai et al³⁵ found that IONM can be useful in PFD, particularly during patient positioning. Class III evidence supports the use of IONM, but it is not necessary in patients undergoing decompression of a CIM.

Anderson et al³⁶ went on to perform a IONM prospective study to determine which patients may respond to bony decompression alone. The authors performed a continuous study of intraoperative BAEPs in patients undergoing PFDD and compared conduction at 3 points: 1) at baseline when patient is supine before positioning, 2) immediately after bony decompression and release of the atlanto-occipital membrane, and 3) after dural opening. They concluded that significant improvement in brainstem conduction immediately occurs after bone decompression and division of the atlanto-occipital membrane, rather than dural opening.

Data from Zamel et al³⁷ further support this finding of intraoperative BAEP changes during different surgical stages of CIM repair. They reviewed variations during different aspects of surgery and correlated them with clinical or radiologic findings. In both groups (with or without syringomyelia) the predominant improvement in central conduction occurred during the period of bony decompression without significant additional improvement after the duraplasty.

Another group³⁸ reviewed their experience with IONM during pediatric CIM surgery and did not identify a definite correlation between clinical outcomes or syrinx improvement.

Subtle nonsignificant changes in SSEP/MEPs during suboccipital decompression (without clinical correlation) were reported in adults by Roser et al.³⁹ The authors felt that IONM is not considered a prerequisite for a safe suboccipital decompression when surgery is performed by an experienced team. In support of these findings, Barzilai et al³⁵ found that IONM can be useful in CIM surgery, particularly during patient positioning.

Class III evidence supports the use of IONM, but it is not necessary in patients undergoing decompression of a CIM.

Question 3-4. In patients with CIM and syrinx, how long should you wait to evaluate for syrinx reduction before performing additional surgery?

Recommendation: Surgeons may perform additional neurosurgical intervention for 6 to 12 months after surgical treatment of CIM with syringomyelia in patients that have not demonstrated radiographic improvement.

Strength of recommendation: Grade B

The decision to reoperate on a patient with CIM and syringomyelia will require consideration of multiple factors (such as presenting clinical symptoms/signs or radiographic evidence of syrinx improvement/resolution).

Reported timetables for observing postoperative syrinx improvement by MRI imaging vary widely. While some studies report syrinx improvement as early as 3 to 4 months, most studies suggest follow-up for 6 to 7 months; the remaining studies report further syrinx resolution by 1 year in 80% of cases. Beyond 12 months, patients will likely experience late stability, resolution, or expansion of their syrinx (often without symptom changes).

Numerous retrospective publications that discussed syringomyelia reduction and resolution after neurosurgical intervention were included. The degree of granularity and emphasis on this radiographic outcome varied among these reports.

Hale et al⁴⁰ reported on time to syrinx resolution comparing PFD and PFDD approaches. A similar study evaluating use of tonsillar coagulation was done by Stanko et al.³² El-Ghandour⁴¹ reported a group of patients treated with a variety of approaches: PFD, PFD with fourth ventricle stent, and patients treated with syrinx shunting. Soleman et al⁴² reported syrinx reduction times (mean 3.4 months) for 21 patients with CIM and syringomyelia treated by syrinx shunting. The remaining 16 retrospective studies^{17,32,43–58} reported times for syrinx response to surgical PFD procedures. They did not compare differences in surgical techniques.

Available evidence suggests additional neurosurgical interventions after surgical treatment of patients with CIM with syringomyelia may be needed for patients that have not demonstrated radiographic improvement within 6 to 12 months postsurgery.

Question 3-5. In surgically treated patients with CIM who have clinically improved, is long-term follow-up needed?

Recommendation: Patients undergoing surgery for CIM who experience symptom resolution may be monitored for symptom or imaging changes.

Strength of recommendation: Grade C

Neurosurgeons routinely follow patients with CIM postoperatively at varied scheduled intervals within 12 months, according to their practice routine. Long-term follow-up (follow-up >1 year) varies widely.

Many reports included patients who initially improve after CIM surgery but who require another operative intervention for recurrence of symptoms or a syrinx years later.

Twenty-six publications^{8,17,51,52,57–78} reported long-term follow-up and clinical status after Chiari decompression surgery. All are retrospective case series, with inconsistent long-term criteria for reoperation or intervention. It is unclear if planned long-term follow-up or an unscheduled return for symptom evaluation yield different reoperation rates or clinical outcomes.

In these retrospective studies, patients were evaluated clinically or for syrinx recurrence, but do not include details for the repeat assessment (ie, routine/scheduled long-term follow-up or return of symptoms). Five studies found significantly elevated reoperation rates (12-50%), mostly occurring after 6 to 12 months.^{59,62,67,75,76} Nine studies noted a 1.1% to 9% reoperation rate for

symptoms or imaging findings, consistent with previous reports,^{8,17,58,60,64,65,68,71,73} and 8 studies had long-term follow-up without clinical indication for reoperation.^{61,63,66,70,72,74,77,78} Two studies reported patient deterioration or syrinx progression after 1 year; however, patients did not undergo surgery.^{51,52}

Two studies identified long-term scoliosis progression referred for surgical management; however, it was unclear if the initial neurosurgeon or scoliosis physician performed the evaluations.^{69,73}

Future Directions

This systematic review of the literature highlighted variations in clinical practices. No specific recommendations can be made on the best surgical approach, the need for dural patch grafting, or cerebellar tonsillar resection. There is significant need for prospective studies to evaluate the use of duraplasty and cerebellar tonsil reduction to determine which patients may potentially benefit from these practices. In most instances, symptoms and syrinx improved within 6 to 12 months of a successful operation. Some clinicians follow patients for 6 to 12 months, and other clinicians follow for much longer. Further research into the age of a patient at initial decompression and the optimal duration of follow up care is needed. Recent data^{7–11} suggest better outcomes using a dural patch graft without increase in complication rates. Further studies are necessary to confirm these results, and more data are expected for the planned update of these guidelines in 5 years.

Future studies and collaborative efforts may offer more insights to improve our management approach. Patient-centered studies evaluating patient-reported outcomes may be helpful to inform future clinical decision making and recommendations. It is imperative to explore these questions to help improve care of our patients with CIM and syringomyelia.

CONCLUSIONS

Mostly class III and some class II evidence informed our recommendations for the treatment of CIM. Decompression with or without duraplasty and with or without cerebellar tonsil reduction seemed to provide symptom relief and syrinx resolution. Recent evidence (published after evidence review) suggests improved outcomes with duraplasty. Benefit of intraoperative monitoring was inconclusive, and it is common to wait 6 to 12 months for symptom or syrinx resolution before considering a repeat operation.

The guidelines offer a review of current evidence in the literature. We anticipate upcoming highquality studies to develop revision of these guidelines to allow improved care for our patients with CIM.

Conflicts of Interest

All Guideline Task Force members were required to disclose all potential COIs prior to beginning work on the guideline, using the COI disclosure form of the AANS/CNS Joint Guidelines Review Committee. The CNS Guidelines Committee and Guideline Task Force Chair reviewed the disclosures and either approved or disapproved the nomination and participation on the task force. The CNS Guidelines Committee and Guideline Task Force Chair may approve nominations of task force members with possible conflicts and restrict the writing, reviewing,

and/or voting privileges of that person to topics that are unrelated to the possible COIs. See Appendix V for a complete list of disclosures.

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Disclaimer of Liability

This clinical systematic review and evidence-based guideline was developed by a physician volunteer task force as an educational tool that reflects the current state of knowledge at the time of completion. Each chapter is designed to provide an accurate review of the subject matter covered. This guideline is disseminated with the understanding that the recommendations by the authors and consultants who have collaborated in their development are not meant to replace the individualized care and treatment advice from a patient's physician(s). If medical advice or assistance is required, the services of a competent physician should be sought. The proposals contained in these guidelines may not be suitable for use in all circumstances. The choice to implement any particular recommendation contained in these guidelines must be made by a managing physician in light of the situation in each particular patient and on the basis of existing resources.

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Appendix I. Literature searches See Chapter 1, Appendix I.

Appendix II. Rating evidence quality

Classification of Evidence on Therapeutic Effectiveness and Levels of Recommendation

Class I evidence Level I (or A) recommendation	Evidence from one or more well-designed, randomized controlled clinical trial, including overviews of such trials.
Class II evidence Level II (or B) recommendation	Evidence from one or more well-designed comparative clinical studies, such as non- randomized cohort studies, case-control studies, and other comparable studies, including less well- designed randomized controlled trials.
Class III evidence Level III (or C) recommendation	Evidence from case series, comparative studies with historical controls, case reports, and expert opinion, as well as significantly flawed randomized controlled trials.

Classification of Evidence on Prognosis and Levels of Recommendation

Class I evidence	All 5 technical criteria above are satisfied
Level I (or A) recommendation	
Class II evidence	Four of five technical criteria are satisfied
Level II (or B) recommendation	
Class III evidence	Everything else
Level III (or C) recommendation	

Classification of Evidence on Diagnosis and Levels of Recommendation

Class I evidence	Evidence provided by one or more well-designed
Level I (or A) recommendation	clinical studies of a diverse population using a "gold
	standard" reference test in a blinded evaluation
	appropriate for the diagnostic applications and
	enabling the assessment of sensitivity, specificity,
	positive and negative predictive values, and, where
	applicable, likelihood ratios.
Class II evidence	Evidence provided by one or more well-designed
Level II (or B) recommendation	clinical studies of a restricted population using a
	"gold standard" reference test in a blinded
	evaluation appropriate for the diagnostic
	applications and enabling the assessment of
	sensitivity, specificity, positive and negative
	predictive values, and, where applicable, likelihood
	ratios.

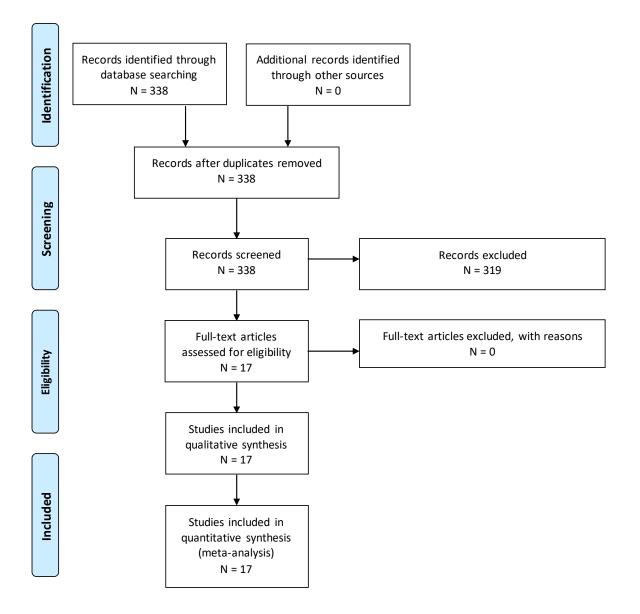
Class III evidence Level III (or C) recommendation	Evidence provided by expert opinion or studies that do not meet the criteria for the delineation of sensitivity, specificity, positive and negative
	predictive values, and, where applicable, likelihood ratios.

Classification of Evidence on Clinical Assessment and Levels of Recommendation

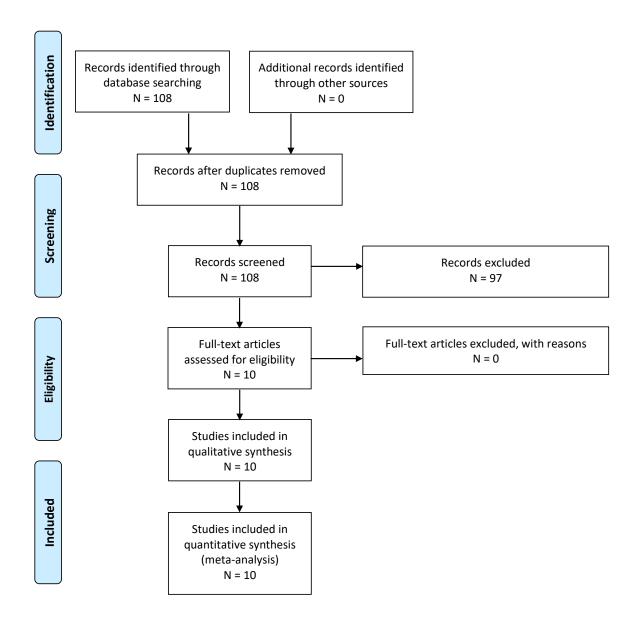
Class I evidence	Evidence provided by one or more well-
Level I (or A) recommendation	designed clinical studies in which interobserver
	and/or intraobserver reliability is represented by
	a kappa statistic > 0.60.
Class II evidence	Evidence provided by one or more well-
Level II (or B) recommendation	designed clinical studies in which interobserver
	and/or intraobserver reliability is represented by
	a kappa statistic > 0.40 .
Class III evidence	Evidence provided by one or more well-
Level III (or C) recommendation	designed clinical studies in which interobserver
	and/or intraobserver reliability is represented by
	a kappa statistic < 0.40.

Appendix III. PRISMA flowcharts

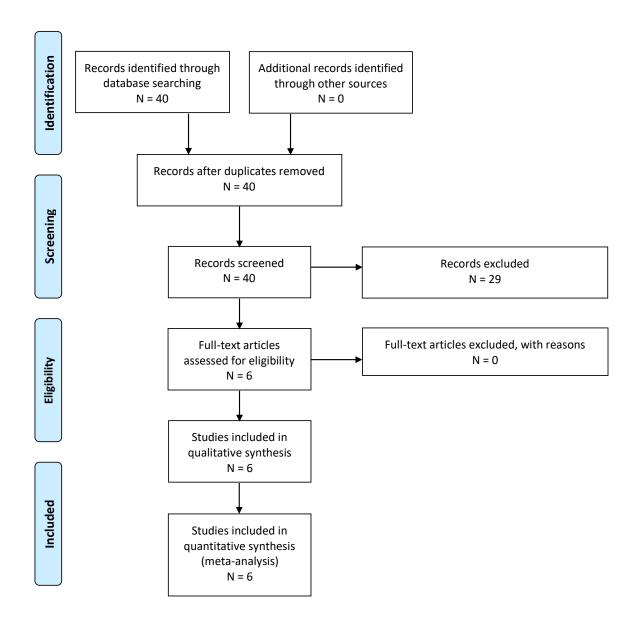
Question 3-1



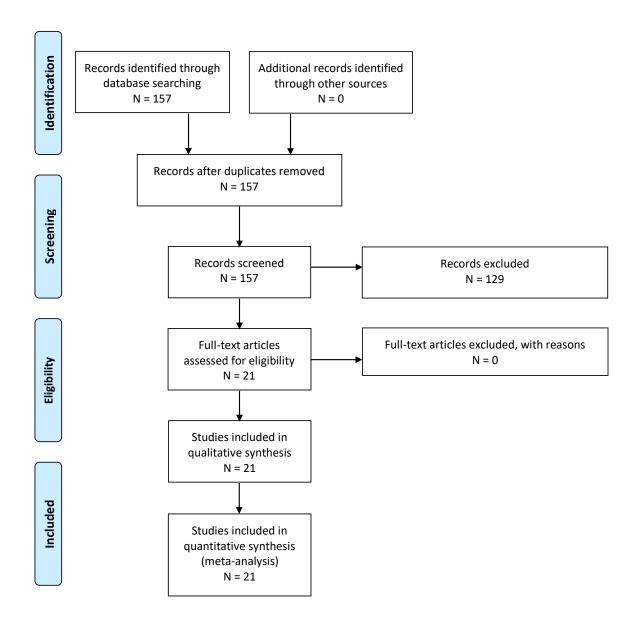
Question 3-2



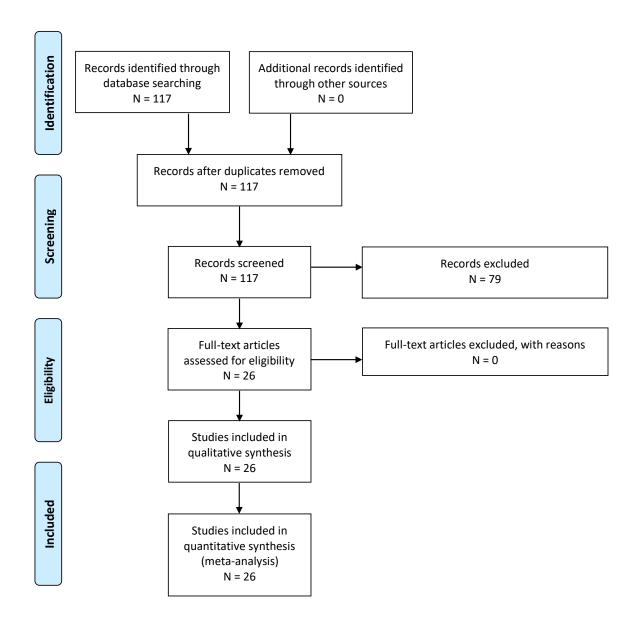
Question 3-3



Question 3-4



Question 3-5



Appendix IV. Evidence tables

PICO	Author	Literature Type	Description of the study	Class of Evidence	Author Conclusions
1	Yilmaz et al, 2017 ¹⁸	Therapy	Retrospective case series	III	Single-center retrospective review that showed there was no difference in outcome between PFD and PFDD, except in patients with severe tonsillar herniation who had an improvement in their cervical motility without compromising their spinal stability
1	Ito et al, 2020 ¹⁹	Therapy	Retrospective case series	III	This study shows increased complications with PFDD, and PFD is not as effective with less syrinx resolution and increased need for reoperation. However, very small subgroups were reported ($n = 8$ in the duraplasty group)
1	Grahovac et al, 2018 ²⁴	Therapy	Retrospective comparative	III	In young patients (<3 years of age) with CIM, recurrence rates were higher after a PFD than after a PFDD. The authors reported that PFDD at primary or redo surgery provides for better decompression and long-term outcome. However, this was a small study ($n = 16$)
1	Jiang et al, 2018 ¹⁴	Therapy	Prospective comparative RCT	III	Found that PFD produces comparable radiologic and clinical outcomes and is associated with a lower risk of complications (higher incidence of CSF leak in the PFDD group)
1	Del Gaudio et al, 2018 ²²	Therapy	Retrospective case series	III	Small numbers (n = 28) but concluded that PFD (with dural peeling) was less risk than PFDD but had a lower response rate (66.7% vs 100%)
1	Oral et al, 2019 ²⁰	Therapy	Retrospective case control	III	Found that a syrinx cavity is more likely to regress in patients who undergo PFDD, but there are complications with a PFDD. Therefore, they concluded PFD should be initially preformed in patients with CIM and a small syrinx cavity, or those without syringomyelia
1	Chen et al, 2017 ²¹	Therapy	Retrospective case control	III	No statistically significant differences were found between PFD and PFD groups with regard to demographics, preoperative symptoms, radiographic characteristics, and clinical outcomes. However, postoperative aseptic meningitis

					occurred more frequently in the PFDD group than the PFD
					group
1	Butensky et al, 2020^{15}	Therapy	Retrospective case control	III	Found that there is a role for PFDD in patients with severe
					syringomyelia, but overall PFD may be safely offered as the
					initial surgical intervention for symptomatic CIM patients
1	Gurbuz et al, 2015 ⁹	Therapy	Retrospective case control	III	Reported that in CIM cases with syringomyelia and tonsillar
					herniation >10 mm and whose symptoms lasted <36 months,
					PFDD is a more reliable choice than PFD despite a slightly
					higher rate of complications
1	Gallo et al, 2017^{10}	Therapy	Retrospective case control	III	PFD has comparable clinical and radiologic outcomes to
					PFDD in children with CIM. But there was a higher risk of
					postoperative complications with PFDD that was not
1	<u><u><u></u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u></u>			TTT	statistically significant
1	Shimoji et al, 2019 ¹³	Therapy	Retrospective case control	III	Small study ($n = 26$), but PFD was similar to PFDD in terms
					of the results of surgery and had a lower risk of complications for the treatment of noncomplicated CIM
1	Bao et al, 2015 ¹⁶	Therapy	Retrospective case series	III	Did not compare 2 techniques but did show that PFDD is a
1	Bao et al, 2013	Петару	Refospective case series	111	rational surgical approach with beneficial clinical effects
1	Pisapia et al, 2017 ⁸	Therapy	Retrospective comparative	II	Large study from 1 center. Concluded that equivalent rates of
1		Therapy	Keitospeenve comparative	11	symptom resolution and reoperation after PFDD and PFD
					support the PFD approach as a first-line surgical option for
					pediatric CIM patients
1	Pandey et al, 2020^{12}	Therapy	Retrospective case series	III	Showed more optimal syrinx resolution, shorter operative
		17	1		time, and shorter postoperative stay with the PFDD group.
					Both PFD and PFDD had similar outcome scores
1	Klekamp et al, 2012 ²³	Therapy	Retrospective case series	III	Series of 371 patients only included PFDD, but it offered a
	-				favorable long-term prognosis. However, there was a high
					complication rate of 21.8%, permanent morbidity rate of
					3/2%, and mortality rate of 1.3%
1	Chotai et al, 2020 ¹⁷	Therapy	Retrospective case series	III	Maximal reduction in syrinx size can be expected within 3
					months after PFD in patients with SM and a syrinx; however,
					the syringes continue to regress over time. In patient with

					CIM and SM, the median time to >50% regression in maximal syrinx diameter was 8 months
1	Yilmaz et al, 2011 ¹¹	Therapy	Retrospective comparative	III	In patients with tonsillar descent below C1, PFDD may lead to a more reliable decrease in SM and outcome scores, but with less severe tonsillar descent, PFD alone may be performed
2	da Silva et al, 2003 ²⁵	Therapy	Case series	III	Included 53 patients 24 without tonsil manipulation. Symptom improvement was shown
2	Vidal et al, 2019 ²⁶	Therapy	RCT	III	Included 32 patients, with 16 in each group. There were more complications in the tonsil coagulation group
2	Wang et al, 2020 ³¹	Therapy	Comparative	III	Included 42 patients, 12 of whom had PFDD, 13 had PFDD with coagulation and 17 had PFDD with coagulation and suspension. Patients who underwent PFDD + CTC + CTS improved more than comparison group
2	Kunert et al, 2009 ²⁷	Therapy	Comparative	III	Included 38 patients with 6 months postoperative MRI. Results of PFD and PFDD are comparable, but the risk of post op complications after PFD were significantly lower
2	Park et al, 2009 ²⁸	Therapy	Case series	III	Included 57 patients 40 of whom had PFD. Seventeen patients had PFD with tonsil management. There was no difference in outcomes
2	Stanko et al, 2016 ³²	Therapy	Case series	III	Included 171 patients, 43 with tonsil cautery and 128 without. Syrinx resolution more likely with cautery, no increase in complications
2	Koueik et al, 2020 ²⁹	Therapy	Comparative	III	Included 75 patients, and 42 had PFDD-T, while 26 had PFDD. This was a prospective, nonrandomized study and there was no difference in outcomes or complications
2	Chotai et al, 2020 ¹⁷	Therapy	Case series	III	Included 85 patients, 5 of whom had bone one only, 21 had tonsil cauterization and 15 had arachnoid veil transection. Resolution of syringomyelia was more likely when tonsil manipulation was performed

2	Jia et al, 2018 ³⁰	Therapy	Retrospective comparative	III	In 115 adult patients, there were 37 with posterior fossa decompression with duraplasty and 78 who had duraplasty and cerebellar tonsil resection. There was no difference in symptom resolution or syrinx reduction between groups. There was higher complication rate for subjects who had tonsil resection
2	Asgari et al, 2003 ³³	Therapy	Retrospective comparative	III	Nonrandomized. Technique difference was due to different preferences by different surgeons. No overlap
3	Anderson et al, 2003 ³⁴	Therapy	Retrospective case series	III	There was no comparison
3	Anderson et al, 2003 ³⁶	Therapy	Prospective case series	III	No comparison. Authors concluded that in pediatric patients the majority of improvement occurs after bone decompression and division of the atlanto-occipital membrane, rather than opening of the dura
3	Zamel et al 2009 ³⁷	Therapy	Retrospective case series	III	No comparison. Data revealed that for both groups of patients, with or without associated syringomyelia, the predominant improvement in central conduction in most cases occurred during the period of bony decompression without significant additional improvement after the duraplasty procedure
3	Rasul et al, 2019 ³⁸	Therapy	Retrospective case series	III	No comparison. Found no link between clinical outcomes and IONM, nor did syrinx outcome correlate with IONM
3	Roser et al, 2016 ³⁹	Patient assessment	Retrospective case series	III	A 39-patient case series with no comparison group. All patients had IOM with CMD. Authors fail to show much if any effectiveness
3	Barzilai et al, 2016 ³⁵	Diagnostic test	Retrospective case series	III	There was no comparison group
4	Arnautovic et al, 2020 ⁴³	Patient assessment	Prospective case series	II	Reports all syrinx cases stable or reduced in size by 8 months. No patients in the syrinx subgroup required additional surgery. Of 43 patients with syrinx, 35 resolved and 8 improved. MRI was performed at 2, 6, and 12 months. The median time to resolving/improving syrinx was 4 months (IQR, 3-8 months)

4	Ghanem et al, 1997 ⁴⁴	Patient assessment	Case series	III	In 11 of 12 patients, syrinxes improved by 1 year. The 1 case without radiographic improvement had clinical improvement. There were no reoperations for failure to improve syrinx
4	Spena et al, 2010 ⁴⁵	Patient assessment	Retrospective case series	III	Postoperative MRI was performed at 3 and 6 months and then annually. All patients had at least 3 postoperative MRI scans. Syringomyelia improved in 29 patients (80.5%) and remained unchanged in 7 (19.4%). The mean time for syrinx reduction was 8 months (range, 6-26 months).
4	Kemerdere et al, 2020 ⁴⁶	?	Retrospective case series	III	Follow-up MRI performed at 3 and 12 months. There was a decrease in size in all syrinxes 3 months after surgery. Long-term follow-up showed persistent improvement in 16 of 21 patients (76.1%)
4	Kennedy et al, 2015 ⁴⁷	?	Retrospective case series	III	Fifty-seven patients with available images had mean radiographic follow-up of 32 months. Of these, 70% (40) demonstrated radiographic improvement on MRI
4	Hale et al, 2020 ⁴⁰	?	Retrospective case series	Ι	Kaplan-Meier analysis of time to syrinx resolution (<2 mm diameter) shows 50% resolution by slightly over 3 years. By 6 years, nearly all syringomyelia had improved
4	Nagoshi et al, 2014 ⁴⁸	?	Retrospective case series	III	Authors recommend a minimum of 12 months' follow-up before considering additional intervention
4	Isu et al, 1993 ⁴⁹	?	Retrospective case series	III	In a series of 7 patients, 5 syrinx decreased over weeks and 2 decreased over months
4	Raftopoulos et al, 1993 ⁵⁰	?	Retrospective case series	III	MRI follow-up was performed 9 days, 2 months, 6 months after surgery, and then annually. By 2 months, all 8 patients had decreased syrinx volume. All were then stable or further decreased on subsequent imaging
4	Stanko et al, 2016 ³²	?	Retrospective case series	III	MRI images were obtained 3–6 months after surgery. If there was no improvement, imaging was repeated 3–4 months after the first postoperative scan. The mean time to syrinx improvement was 11 months.
4	El-Ghandour et al, 2012 ⁴¹	?	Retrospective case series	III	Postoperative MRI performed every 3 months until syrinx resolution or until 18 months. The mean time to syrinx resolution was 7.4 months

4	Alfieri et al, 2012 ⁵¹	?	Retrospective case series	II	MRI performed at 6 weeks and 6 months after surgery, and then annually. At 1 year, 29% had syrinx resolution; 57% had unchanged syrinx size. Results were similar at last follow-up
4	Attenello et al, 2008 ⁵²	?	Retrospective case series	Π	Three-month postoperative MRI, usually repeated 12 to 18 months after surgery. The median time to radiographic improvement was 14 months after surgery
4	Takayasu et al, 2004 ⁵³	?	Retrospective case series	III	Postoperative MRI was performed between 1 week and 3 months after surgery. The second postoperative MRI was performed at 3, 4, and 7 months. Syrinx reduced in size in all patients by 7 months
4	Bao et al, 2013 ⁵⁴	?	Retrospective case series	III	One hundred forty-eight patients received MRI within 2 weeks after operation. Seventy-five patients (50.7%) had a reduced spinal syrinx
4	Wu et al, 2012 ⁵⁵	?	Retrospective case series	III	Follow-up MRI was conducted at 6 months and 2, 4, and 6 years postsurgery. Thirty-six of 44 patients (81.8%) had significant improvement within 6 months after surgery, and 97.7% (43/44) had significant improvement by the final follow-up
4	Xie et al, 2015 ⁵⁶	?	Retrospective case series	III	Of 87 patients, 31 cases who had both 6-month and a >12- month follow-up were selected to evaluate the time course of syrinx resolution. Most syrinx improvement noted by 6 months then slow improvement over the next several years
4	Wetjen et al, 2008 ⁵⁷	?	Retrospective case series	II	The median time to syrinx narrowing (>50% reduction in syrinx diameter) was 3.6 months (95% CI, 3-6.5 months)
4	Hidalgo et al, 2018 ⁵⁸	?	Retrospective case series	III	MRI was obtained at 3-, 6-, 12-, 18-, and 24-month intervals. The median time to syrinx improvement was 3 months (range, 3-72 months)
4	Chotai et al, 2020 ¹⁷	?	Retrospective	II	Maximum syrinx regression was seen at 3 months but noted to improve for as long as 12 years
4	Soleman et al, 2017 ⁴²	?	Retrospective case series	III	The average time from FMD to SSS for patients in this study was 3 year \pm 3 years (range, 134-4104 days)

5	Paul et al, 1983 ⁵⁹	Therapy	Retrospective case series	III	Seventy-one patient series showed 21% symptom recurrence/deterioration after initial improvement 2-3 years out from surgery, no reoperation data
5	Zhang et al, 2008 ⁶⁰	Therapy	Retrospective case series	III	Three hundred sixteen patient series with 7% syrinx enlargement at ≥ 2 years of follow-up
5	Ene et al, 2020 ⁶¹	Therapy	Retrospective case series	III	Two hundred seventy-six patients followed for symptom and syrinx resolution and scoliosis outcomes mean follow-up 35 months without reoperation data
5	Pisapia et al, 2017 ⁸	Therapy	Retrospective case series	III/II	One hundred eighty-nine patients followed up 1-75 months showed 8% reoperation. Unclear what findings for reoperation were found in short- vs. long-term follow-up
5	Krishna et al, 2014 ⁶²	Therapy	Retrospective case series	III	Forty-seven patients showed 31.9% of patients had redo decompression (<1 year to 11 years), 22.9% reoperation for Chiari associated; 31.9% reoperation for recurrent symptoms at mean 2.6 years 1-11 postoperative from initial surgery FMD
5	Tubbs et al, 2011 ⁶³	Therapy	Retrospective case series	III	Five hundred cases followed 2 months to 15 years, mean 5 years, 15 patients (3%) reoperated for syrinx or symptoms
5	Alfieri et al, 2012 ⁵¹	Therapy	Retrospective case series	III	One hundred nine patients median follow-up of 12.7 years, followed-up at 1 year and last follow-up for spinal sx, pain, cranial sx, and syrinx. Beyond 1 year at last follow-up, 2 syrinx expansions and 1 worsening pain without reoperation
5	Balestrino et al, 2019 ⁶⁴	Therapy	Retrospective case series	III	One hundred seventy-two patients mean follow-up 5.1 years. Six patients had (3.5%) redo decompression without timeline details short vs. long
5	De Vlieger et al, 2019 ⁶⁵	Therapy	Retrospective case series	III	Seventy-nine patients were interviewed, with median follow- up 5.8 years. 2 (2.5%) patients with syrinx recurrence at 2 years were reoperated
5	Pomeraniec et al, 2016 ⁶⁶	Therapy	Retrospective case series	III	Mean follow-up 66 months, 25 surgical patients. There was no reoperation data
5	Klekamp et al, 2012 ⁶⁷	Therapy	Retrospective case series	III	Of 371 patients, 45 (12%) had reoperations. Patients were followed long term 5 and 10 years with patient deterioration

5	Attenello et al, 2008 ⁵²	Therapy	Retrospective case series	III	In 49 patients, all but 1 patient improved by 12 months. 1 patient did not go on to have additional surgery
5	Kennedy et al, 2015 ⁶⁸	Therapy	Retrospective case series	III	Study included 156 patients with 32 months' follow-up. Of 14 cases, 9% not improved by 22 months were reoperated on at 3-57 months
5	Flynn et al, 2004 ⁶⁹	Therapy	Retrospective case series	III	Patients followed long-term for scoliosis progression after Chiari decompression. Patients were followed 2-15 years
5	Feghali et al, 2020 ⁷⁰	Therapy	Retrospective case series	III	Of 149 patients, mean follow-up was 1.9 years. There was no reoperation for syrinx progression
5	Attenello et al, 2009 ⁷¹	Therapy	Retrospective case series	III	Of 67 patients, 4 (6%) patients required revision on average 16 months postoperatively
5	Gilmer et al, 2017 ⁷²	Therapy	Retrospective case series	III	One hundred forty-four patients had a mean follow-up 27 months following symptoms. There was no reoperation data
5	Singhal et al, 2019 ⁷³	Therapy	Retrospective case series	III	Of 50 patients, 8 (1.6%) patients were reoperated on at 24 months. Two patients referred for scoliosis surgery
5	Pepper et al, 2021 ⁷⁴	Therapy	Retrospective case series	III	One hundred twenty-nine patients had at least 24 months average follow-up. There were no revision data
5	Guyotat et al, 1998 ⁷⁵	Therapy	Retrospective case series	III	Of 75 patients, 27 (36%) reoperated patients in the follow-up timeframe
5	Wetjen et al, 2008 ⁵⁷	Therapy	Retrospective case series	III	In 29 patients, 96% showed symptoms improved by 6 months, no deterioration >1 year
5	Hidalgo et al, 2018 ⁵⁸	Therapy	Retrospective case series	III	In 105 patients, there were 12 (1.1%) reoperations at mean 8.9 months postoperatively (range, 1.3-77 months). Median time to syrinx improvement was 3 months (range, 3-72 months)
5	Chotai et al, 2020 ¹⁷	Therapy	Retrospective case series	III	In 85 patients, there was reoperation in 3 (3.5%) patients
5	Soleman et al, 2019 ⁷⁶	Therapy	Retrospective case series	III	Of 48 patients, 24 (50%) patients had additional surgery at mean 21.4 months (range, 12 days to 34.9 months)
5	Alzate et al, 2001 ⁷⁷	Therapy	Retrospective case series	III	Sixty-six patients had a mean follow- up of 24 months with no mention of reoperations
5	Imperato et al, 2011 ⁷⁸	Therapy	Retrospective case series	III	Thirty-six patients were followed up to 18 months from surgery with no reoperations

CI, confidence interval; CMD, circumferential microsurgical decompression; CSF, cerebrospinal fluid; CTC, cerebellar tonsil coagulation; CTS, cerebellar tonsil suspension; FMD, foramen magnum decompression; IQR, interquartile range; IOM, intraoperative monitoring; IONM, intraoperative neuromonitoring; MRI, magnetic resonance imaging; PFD, posterior fossa decompression; PFDD, posterior fossa decompression and duraplasty; PFDD-T, posterior fossa decompression and duraplasty with tonsillar resection; RCT, randomized controlled trial; SM, syringomyelia; SSS, syringo-subarachnoid shunt.

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Appendix V. Conflicts of Interest